

The Effect of a Virtual No-Step Zone on Balance Control in Walking

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Abstract

Humans need to actively control their upright posture during walking to avoid loss of balance. We do not have a comprehensive theory for how humans regulate balance during walking, especially in complex environments. Balance must be maintained in a variety of contexts including crowded city side-walks, rocky nature trails, walks on the beach, or fast-paced sporting events. The nervous system must process many aspects of the environment to produce an appropriate motor output in order to maintain balance on two legs. We have previously identified three balance mechanisms that young healthy adults use to maintain balance while walking: 1) The ankle roll mechanism, a modulation of ankle inversion/eversion; 2) The foot placement mechanism, a shift of the swing foot placement; and 3) The push-off mechanism, a modulation of the ankle plantarflexion angle during double stance. We know that these mechanisms are interdependent and can be influenced by internal factors such as the phase of the gait cycle and walking cadence. Here we seek to determine whether there are changes in neural control of balance when walking in the presence of environmental constraints. Subjects walked on a self-paced treadmill while immersed in a virtual environment that provides three different colored pathways. Subjects were instructed not to step in the *No-Step Zone*, which appeared either on the right or left side of the subject. While walking, subjects received balance perturbations in the form of galvanic vestibular stimulation, providing the sensation of falling sideways, either toward the *No-Step* zone or toward the *Neutral* zone on the other side. The results indicate that the use of the balance mechanisms are subtly altered depending on whether the perceived fall

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is toward the *No-Step* or the *Neutral* zone. This experiment provides further evidence that the balance control system during walking is extremely flexible, recruiting multiple mechanisms at different times in the gait cycle to adapt to environmental constraints.

11 **1. Introduction**

12 Human balance control has been extensively studied in standing (Winter et al., 1998; Kiemel
13 et al., 2008; Peterka, 2002), but a comprehensive theory for how it is accomplished during
14 walking is still not developed. Compared to standing, the gait cycle adds a layer of complexity to
15 bipedal systems during locomotion. Balance while walking is further complicated in a dynamic
16 and variable environment in walking compared to standing. Balance must be maintained in a
17 variety of contexts, such as crowded city side-walks, rocky nature trails, walks on the beach, or
18 fast-paced sporting events. How do environmental constraints contribute to the required motor
19 output in order to maintain bipedal balance? Our interest here is to investigate a paradigm
20 that systematically alters the use of the balance mechanisms during walking in response to
21 constraints in the environment.

22 To our knowledge it is not understood how balance is achieved in the presence of environ-
23 mental constraints. The majority of literature surrounding environmental constraints focuses on
24 stepping (Rietdyk and Rhea, 2011) or steering around (Patla et al., 1991) an obstacle. These
25 paradigms are valid representations of environmental constraints, as slipping and tripping com-
26 bined account for an estimated 46% of falls among older adults (Leavy et al., 2015). If environ-
27 mental constraints are imposed, we must adapt, and decide if falling in a certain direction has
28 increased consequences or costs. Obviously any fall is undesirable, but certain types of falls
29 may be more harmful, such as falling off a curb or a flight of steps.

30 A real-world situation that may alter the method of balance, although extreme and probably
31 rare, could be walking along a narrow mountain foot-path with a 100m sheer cliff on the left
32 side. A sudden gust of wind or a trip shifts the center of mass to the left. What does the
33 nervous system do? A step to the left may be costly as this could shift the base of support to an
34 unstable portion of the trail and result in a fall. In this example, we argue the nervous system
35 must choose a specific balance response that reflects the cost of falling in a particular direction.

36 We know that the healthy human nervous system, without any environmental constraints,
37 typically uses three major balance mechanisms (Reimann et al., 2018): 1) The ankle roll mech-
38 anism, a modulation of ankle inversion/eversion that shifts the center of pressure under the
39 stance foot towards the perceived fall, pulling the body in the opposite direction; 2) The foot
40 placement mechanism, a shift of the swing foot placement towards the perceived fall that shifts
41 the center of pressure towards the perceived fall after heel-strike; and 3) The push-off mecha-
42 nism, a modulation of the ankle plantarflexion/dorsiflexion angle of the trailing leg, accelerating
43 the CoM not only in the anterior-posterior direction, but also in the medial-lateral direction to
44 correct the perceived fall. The nervous system combines these mechanisms in different ways
45 to maintain balance, as the use of each mechanism varies on any given step (Fettrow et al.,
46 2019b). Furthermore, the relative use of the mechanisms is altered based on internal con-
47 straints, such as the phase of the gait cycle (Reimann et al., 2019), or the stepping cadence
48 (Fettrow et al., 2019a). This allows for a highly flexible system that can meet the goal of main-
49 taining balance during locomotion through a variety of scenarios.

50 Here we test whether the recruitment of the mechanisms depends on the perceived avail-
51 ability of places to step in the environment. It is known that vision is used to assign constraints
52 to the environment in which the person is navigating (Patla et al., 1991; Patla and Greig, 2006;
53 Jansen et al., 2011) and for planning of future step location (Matthis and Fajen, 2014). We cre-
54 ated a paradigm that attempts to recreate the cliff trail example, without the factor of fear. The
55 literature has focused on the use of the foot placement mechanism in order to maintain balance
56 (Townsend, 1985; Bruijn and Van Dieën, 2018). We know the foot placement is actively mod-
57 ulated in response to a perceived fall (Hof and Duysens, 2013; Rankin et al., 2014; Reimann
58 et al., 2017), and during normal walking (Wang and Srinivasan, 2014). If the foot placement
59 mechanism is constrained, other mechanisms must be used in order to respond to a perceived
60 threat to balance. We attempted to achieve this by placing "No Step Zones" lateral to the sub-
61 ject's walking path, and periodically providing sensory perturbations to balance, providing the
62 sensation of falling to the side, either toward the *No-Step* zone or the *Neutral* zone. We hy-
63 pothesize that the foot placement mechanism will be restricted when the balance perturbation

64 produces a perceived fall towards the *No-Step* zone.

65 **2. Methods**

66 20 healthy young subjects (13 female, 23.6 ± 4.48 years, 1.68 ± 0.096 m, 70.14 ± 10.48 kg)
67 volunteered for the study. Subjects provided informed verbal and written consent to participate.
68 Subjects did not have a history of neurological disorders or surgical procedures involving the
69 legs, spine or head. The experiment was approved by the University of Delaware Institutional
70 Review Board (IRB ID: 1339047-4).

71 *2.1. Experimental design*

72 Figure 1 shows the experimental setup, with subjects walking on a split-belt, instrumented
73 treadmill (Bertec, Columbus, OH, USA, Figure 1A) within a virtual environment displayed via an
74 Oculus Rift (Facebook Inc., Menlo Park, CA, USA, Figure 1B). The treadmill was self-paced,
75 using a nonlinear PD-controller in Labview (National instruments Inc., Austin, TX, USA) to keep
76 the middle of the posterior superior iliac spine markers on the mid-line of the treadmill. The
77 head position in the virtual world was linked to the midpoint between the two markers on top
78 of the Oculus headset, in which optic flow (forward motion) was defined by the treadmill speed.
79 Rotation in the virtual world was updated via the IMU embedded in the Oculus. We adjusted
80 yaw drift of the IMU through use of real-time rigid body 6DOF tracking of the head via Qualisys
81 (Gothenburg, Sweden) motion capture software, according to the equation

$$\delta = \alpha(\beta - \gamma), \quad (1)$$

82 where β is the yaw angle of the head-mounted display rigid body from motion capture, γ the
83 internal yaw angle of the Oculus IMU and α a gain factor. This equation corrected the internal
84 yaw angle of the Oculus system to the motion capture value by a small factor each time step,
85 effectively tethering the Oculus perspective to the motion capture to remove long-term drift from
86 gyroscope integration. The gain parameter was set to $\alpha = 0.05$.

87 The virtual environment was designed and implemented in Unity3d (Unity Technologies,
88 San Francisco, CA, USA) and consisted of a tiled marble floor with floating cubes randomly

89 distributed in a volume 0-10 m above the floor, 2-17 m to each side from the midline, and
90 infinitely into the distance providing peripheral visual depth and texture. On top of the marble
91 floor was a pathway with three colored lanes of equal width. These three virtual lanes were
92 aligned with the treadmill belts and, in combination, fully covered the width of the treadmill (1.10
93 m, see Figure 1B). The middle lane was colored green. The left and right lanes alternated
94 between gray and red, infinitely into the distance, each block with a length of 10 m. Instructions
95 to the subjects were “Walk on the green path. Please do not step onto the red zones. It is ok
96 to step onto the gray zones if you have to.” We will refer to the red areas as “No-Step” and the
97 gray areas as “Neutral” zones.

98 After explaining the experiment, obtaining consent and placing markers and EMG sensors,
99 subjects first walked for 15 minutes to adapt to the self-paced treadmill with the Oculus Rift.
100 The instructions for starting the treadmill were to hold the handrail until the treadmill reached
101 a comfortable pace, then let go and walk normally. During the adaptation we told the subjects
102 that we would now perturb their sense of balance by use of the galvanic vestibular stimulation
103 (GVS), and asked them to cope with this perturbation “normally” and keep walking forward.
104 Data collection blocks consisted of alternating phases of metronome and stimulus. During
105 metronome phases, lasting 30 s, we provided an auditory metronome at 100 bpm and asked
106 subjects to use this as an “approximate guideline” for their footsteps, both during metronome
107 and stimulus phases. During stimulus phases, lasting 120 s, we turned the metronome off, and
108 subjects received intermittent balance perturbations (details below). Data were collected during
109 stimulus phases. Each subject performed four blocks of walking, each block consisting of five
110 metronome and five perturbed phases, always starting with metronome phases, for a total of
111 12.5 min per block. After each block, the treadmill was turned off and subjects were offered a
112 break. This protocol was implemented in a custom Labview program that sent the head position
113 and treadmill speed to the Unity computer via UDP and saved the GVS current and treadmill
114 speed at 100 Hz.

115 Forty-four reflective markers were placed on the subject, using the Plug-in Gait marker set
116 (Davis III et al., 1991), with six additional markers on the anterior thigh, anterior tibia, and 5th

117 metatarsal of each foot. Marker positions were recorded at 200 Hz using a Qualisys motion
118 capture system with 13 cameras. Ground reaction forces and moments were collected at 1000
119 Hz from both sides of the split-belt treadmill and transformed into a common coordinate frame
120 to calculate whole-body center of pressure (CoP).

121 The vestibular perturbations were triggered on heel-strikes of either foot. The direction of
122 the fall, determined by the polarity of the current, was always chosen in the direction of the foot
123 triggering the stimulus. We identified heel-strikes as the local maxima of forward progression
124 of the heel marker. Due to the alternation of the zones in the outer lanes, this could provide a
125 sensation of falling either towards a *No-Step* or towards a *Neutral* zone. We also included a
126 sham condition with no stimulus as an unperturbed reference, and randomly chose each trigger
127 to be GVS or sham, with equal likelihood. GVS Perturbations consisted of a 1 mA current
128 passed between two round electrodes (3.2 cm diameter, Axelgaard Manufacturing 103 Co.,
129 Ltd, Fallbrook, CA, USA) placed on the mastoid processes for 1 s, inducing a feeling of falling
130 to the side. The perturbation could only be triggered in the middle third between a *No Step* and
131 a *Neutral* zone to avoid possible confusion near the zone switch lines, and was randomized to
132 trigger 1-4 steps after entering the valid inner area. To allow the effects of the perturbation to
133 wash out, further triggers were blocked for at least 10 steps.

134 *2.2. Data analysis*

135 We low-pass filtered force plate data with a 4th order Butterworth filter at a cut-off frequency
136 of 20 Hz and kinematic data with a 4th order Butterworth filter with a cutoff frequency of 10
137 Hz. We calculated joint angle data from the marker data, based on a geometric model with 15
138 segments (pelvis, torso, head, thighs, lower legs, feet, upper arms, forearms, hands) and 38
139 degrees of freedom (DoF) in OpenSim (Delp et al., 2007; Seth et al., 2018) using an existing
140 base model (Zajac et al., 1990). We calculated the body center of mass (CoM) trajectories
141 based on this model. To normalize the EMG trajectories, we divided each signal by the average
142 over all control strides for each channel and subject.

143 We normalized the data between heel-strikes to 100 time steps. To estimate the motor
144 response to the perturbations, we subtracted the average of the unperturbed sham triggers

145 within each subject for all data. Thus all of results will be presented as a difference from control,
146 referred to as a response.

147 To improve the estimate of the foot placement response to the stimulus, we fitted a linear
148 regression model relating the foot placement changes for each subject to the changes of lateral
149 position and velocity of the CoM at midstance using the control data (Wang and Srinivasan,
150 2014). Then for each stimulus step, we used this model to estimate the expected foot placement
151 change based on the CoM state, and subtracted this from the observed foot placement change,
152 resulting in an estimate of the foot placement change due to the vestibular stimulus (Reimann
153 et al., 2017). We will refer to this model-based estimate as model-corrected foot placement
154 change.

155 The experimental design contains two distinct stimulus conditions, where each stimulus
156 could induce a fall sensation either towards a *No-Step* zone or towards a *Neutral* zone. We
157 will refer to these two conditions simply as *No-Step* or *Neutral* stimuli from here on. Spatially,
158 the fall was always towards the triggering leg, which could be either the left or the right. After
159 processing and filling gaps in the kinematic data, we were left with 1211 *No-Step* and 1207
160 *Neutral* stimuli.

161 Subjects were able to successfully maintain balance while walking on the self-paced tread-
162 mill, with no instances of stepping off the treadmill or making use of the body-weight support
163 system. Occasionally subjects violated the task by stepping into the *No-Step* zone. Across all
164 subjects, 64 such violations occurred during or after *No-Step* stimuli and 47 during or after *Neu-*
165 *tral* stimuli. We removed these stretches of data from further analysis, which left 1147 *No-Step*
166 and 1160 *Neutral* stimuli.

167 *2.3. Outcome Variables*

168 We expected that the effect of the vestibular perturbations would be different for fall stimuli
169 toward *No-Step* zones compared to those toward *Neutral* zones. Our first hypothesis was that
170 the whole-body CoM excursion would be larger for *No-Step* vs. *Neutral*. We further hypothe-
171 sized that responses in the foot placement mechanism would be smaller, and responses in the
172 ankle roll and push-off mechanism would be larger for *No-Step* vs. *Neutral*. Here we specify

173 main and secondary outcome variables we calculated to test these hypotheses statistically.

174 For the overall balance response, we used (i) the Δ shift of the whole-body CoM at the end
175 of the fourth step and (ii) the Δ velocity at the end of the second post-stimulus step, based
176 on previous results showing that the maximal changes are near these times (Reimann et al.,
177 2018).

178 For the ankle roll mechanism, we analyzed four variables related to the stance leg lateral an-
179 kle activation: (iii) the Δ CoP-CoM distance integrated over the first post-stimulus single stance.
180 (iv) the Δ stance leg ankle eversion/inversion angle integrated over the first post-stimulus single
181 stance. (v) the Δ stance leg peroneus longus EMG integrated over the first post-stimulus single
182 stance. (vi) the Δ stance leg tibialis anterior EMG integrated over the first post-stimulus single
183 stance.

184 For the foot placement mechanism, we analyzed five variables related to the first post-
185 stimulus swing leg heel strike: (vii) the foot placement, defined as the Δ swing leg heel position
186 relative to the trigger leg heel position at swing leg heel strike. (viii) the model-corrected foot
187 placement, defined as the difference between the measured foot placement value and the foot
188 placement value predicted based on the position and velocity of the CoM at mid-stance using
189 the linear model (see above). (ix) the Δ trigger leg knee internal/external rotation angle at swing
190 leg heel-strike. (x) the Δ swing leg hip internal/external rotation angle at swing leg heel-strike.
191 (xi) the Δ swing leg hip abduction/adduction angle at swing leg heel-strike.

192 For the push-off mechanism, we analyzed two variables related to the push-off of the trailing
193 leg before and during the second post-stimulus double stance: (xii) the Δ plantarflexion angle
194 integrated over the second post-stimulus double stance phase. (xiii) the Δ medial gastrocne-
195 mius EMG of the stance leg integrated over the first post-stimulus swing phase.

196 *2.4. Statistical analysis*

197 We confirmed the assumptions of normality and homoscedasticity by visual inspection of
198 the residual plots for the variables related to foot placement, lateral ankle, and pushoff mech-
199 anisms. Our primary focus of analysis is the whole-body CoM shift and the kinematic, kinetic,
200 and electromyographical variables associated with the ankle roll, foot placement and push-off

201 balance mechanisms.

202 To test our hypotheses about whether humans use the balance mechanisms differently
203 across stimulus directions, we used R (R Core Team, 2013) and lme4 (Bates et al., 2009)
204 to perform a linear mixed effects analysis. For each outcome variable, we fitted a linear mixed
205 model and performed an ANOVA to analyze the use of the mechanisms and interaction of
206 stimulus direction, using Satterthwaite's method (Fai and Cornelius, 1996) implemented in the
207 R-package lmerTest (Kuznetsova, 2017). As fixed effects, we used the direction of the pertur-
208 bation towards a *No-Step* or a *Neutral* zone. As random effects, we used individual intercepts
209 for subjects. Table 1 displays the results for this statistical test on the outcome variables.

210 3. Results

211 Table 1 shows the results of the linear mixed model ANOVA. Statistically significant differ-
212 ences between *No-Step* and *Neutral* are marked in bold.

213 We observed a whole-body balance response in both the *No-Step* and *Neutral* conditions.
214 Figure 2A shows the effect of the balance response in the center of mass (CoM) shift in both
215 conditions. The average CoM trajectories for the two conditions start to separate around the
216 third post-stimulus step. As hypothesized, the effect of the perturbation is stronger in the *No-*
217 *Step*. This difference is reflected in the CoM velocity results, shown in Figure 2B. Both of these
218 differences are statistically significant (see Table 1).

219 Figure 3 shows the displacement of the CoP relative to the CoM. The CoP shifts in the
220 direction of the perceived fall in both conditions, as expected. There appears to be no difference
221 in the response to the perceived fall between the two conditions during the single stance period,
222 though after the first post-stimulus heel-strike the average trajectories begin to diverge.

223 **Ankle Roll.** The shift of the CoP and CoM are generated by a temporally coordinated set
224 of balance mechanisms. Due to the stimulus occurring on heel strike, the first available re-
225 sponse is in the ankle roll mechanism. Figure 4 shows the kinematic and electromyographic
226 response of the lateral ankle mechanism. Figure 4A displays a triggering leg inversion during
227 the first post-stimulus step relative to the unperturbed pattern. The ankle inversion change

228 trends higher towards the end of single stance, but is not statistically different. Figure 4B dis-
229 plays the peroneous longus muscle activity, an ankle everter, and Figure 4C displays the tibialis
230 anterior, an ankle inverter. Together, the changes in activation of these two muscles provide
231 evidence the lateral ankle mechanism is actively generated. A decrease in peroneous longus
232 activity and an increase in tibialis anterior activity yield an ankle inversion.

233 **Foot Placement.** Figure 5A shows that the foot placement was used in both conditions,
234 as evidenced by the shift of the average response in the direction of the perceived fall. The
235 difference in foot placement response was not statistically significant between the *No-Step* and
236 *Neutral* conditions (1). The better estimate of the model-corrected foot placement change, how-
237 ever, shows a stronger difference between zone conditions that did reach statistical significance
238 (Table 1), as seen in Figure 5B. Contrary to our expectation, the foot placement response to
239 stimuli towards the *No-Step* zone was larger than the response to stimuli towards the *Neutral*
240 zone, rather than smaller. Figure 6 shows the kinematic variables related to the foot place-
241 ment. The the swing leg hip adducts, and internally rotates, and the trigger leg knee internally
242 rotates, for both conditions. The combination of these three joint angle changes yield the foot
243 placement change in the direction of the fall stimulus, displayed in Figure 6A. None of these
244 kinematic measures are significantly different between conditions (Table 1).

245 **Push-off.** Figure 7 shows that the push-off mechanism was also used to respond to the
246 balance perturbation, with an increased plantarflexion and increased medial gastrocnemius
247 activity for both conditions. According to Table 1, the integrated plantarflexion angle change
248 quantifying the kinematic resposne did not significantly differ between conditions, but the medial
249 gastrocnemius activity did differ significantly, with more medial gastrocnemius activity in the *No-*
250 *Step* condition.

251 4. Discussion

252 This experiment served as the first attempt in identifying how environmental constraints
253 modify the use of the different balance mechanisms in walking. We expected virtual constraints
254 would alter the use of the balance mechanisms. The virtual environment, shown in Figure 1B,

255 paired with instructions not to step onto the red *No-Step* zones, was used to determine if envi-
256 ronmental constraints alter the use of the balance mechanisms. We found that this paradigm
257 produced subtle changes in the balance mechanisms that led to a robust difference in the
258 whole-body CoM displacement.

259 We observed a separation of the CoM movement in the third and fourth steps following the
260 stimulus onset. There was more of an overall shift of the CoM when the balance perturbation
261 was towards the *No-Step* zone compared to the *Neutral* zone. We expect the CoM shift to
262 be a result of the use of the balance mechanisms. Although there were no strong differences
263 between the zone conditions for any of the balance mechanisms, we observe subtle trends in
264 each mechanism that are consistent between multiple variables associated with each balance
265 mechanism.

266 The lateral ankle mechanism appears to be consistently larger in the *No-Step* condition, with
267 larger ankle inversion, decreased peroneous muscle activity, and increased tibialis anterior ac-
268 tivity, shown in Figure 4. Only the tibialis anterior muscle activity showed a significant difference
269 between the *No-Step* and *Neutral* conditions, but in combination the evidence suggests that
270 the ankle roll mechanism was activated to a greater extent when a perturbation induced a fall
271 toward the *No-Step* zone. The foot placement mechanism also shows trends to support con-
272 sistent use in the *towards No-Step Zone* condition, contrary to our hypothesis. Only the
273 model corrected foot placement showed a significant difference between perturbation directions
274 for evidence of a greater use of the foot placement mechanism. Regardless, this difference, in
275 combination with the subtle trends of kinematics shown in figures 6 and Figure 5A, provide
276 evidence to suggest increased use of the foot placement mechanism when the perturbation
277 induces a fall toward the *No-Step* zone. The push-off provides less compelling evidence to
278 suggest a difference between conditions, despite a difference in medial gastrocnemius activ-
279 ity. Figure 7A shows a greater plantarflexion occurs in *Neutral* zone perturbations, but figure
280 7B shows the muscle activity is trending lower relative to *No-Step* zone perturbations. The
281 combination of the subtle differences in the lateral ankle and the foot placement mechanisms
282 in the first step post-stimulus likely generate the CoM shift we observe in the third and fourth

283 steps post-stimulus. This is evidence that humans can indeed alter the mechanisms they use to
284 maintain balance, particularly in the medial-lateral direction, based on perceived environmental
285 constraints.

286 The modification of the balance response in the presence of an environmental constraint in-
287 dicates substantial influence from central, supraspinal components. The balance response was
288 altered, despite randomization of perturbation direction, suggesting a descending command
289 that influences the execution of the balance response. However, unlike previous research re-
290 garding supraspinal central sets in balance control (Horak et al., 1989), here we observe a
291 direction dependent adjustment instead of amplitude or velocity. If a direction dependent cen-
292 tral set were in place, a primed activation of the balance mechanisms can push the body further
293 from the undesired location (*No-Step* zone). In general, the external constraint imposed leads
294 to a cognitive bias that effects the response to the perturbation.

295 Despite the changes we see in the balance mechanisms dependent on the stimulus direc-
296 tion, the observed foot placement response was contrary to our hypothesis. We expected a
297 decreased foot placement response during *No-Step* stimuli, but instead observed an increased
298 use of the foot placement mechanism. Multiple factors could contribute to this result: 1) the
299 participants did not have great perception of their lower limbs while totally immersed in virtual
300 reality, 2) the green pathway was wide enough to make a foot placement while still abiding by
301 the rules of the task, or 3) the participants did not perceive the task as having a high degree
302 of risk (i.e. no consequences for stepping in area). We discuss these factors and possible
303 solutions in the following paragraphs.

304 We intentionally did not provide any visual or auditory feedback of whether the individual
305 was completely adhering to the protocol (i.e. never stepping in the red pathway). We opted
306 for a minimalist development with no avatar or audio feedback. It is arguable that the presence
307 of a virtual avatar would help the perception of the participant's own body in space. Previous
308 research has shown motor adaptation in upper limb experiments in the presence of an avatar
309 (Bourdin et al., 2019). However, studies about gait kinematics are still needed to understand
310 the specific components of virtual reality immersion and their possible benefits (Ferreira dos

311 Santos et al., 2015).

312 Future experiments should look to improve the perception or effect of the environmental
313 constraint. In the example of walking along a cliff, the cost of not abiding by the constraints
314 is high; a fall off the cliff. This urgency does not exist in the current paradigm. We avoided
315 the confounding factor of fear by creating a mundane environment and provided instructions
316 to simply avoid stepping on a particular color. Fear and anxiety are known to modify behavior
317 (Raffageau et al., 2020) and spinal reflexes when exposed to increased heights (Sibley et al.,
318 2007). Fear may be one method to provide an incentive to abide by such a constraint. Another
319 strategy to improve the efficacy of the paradigm could be to narrow the path of normal walking
320 or decrease the distance between the no-step zone and walking path. However, we would likely
321 not want to constrain the path to the extent of narrow beam walking (Peterson and Ferris, 2018),
322 as this form of walking entirely eliminates the ability to use the foot placement mechanism for
323 the control of balance in the medial-lateral direction (Otten, 1999). It may also be possible to
324 improve engagement through gamification of the task. Positive feedback for avoiding the no-
325 step zone or negative feedback for stepping in the no-step zone may improve the engagement
326 in this paradigm. Although virtual reality is still in its infancy, many researchers have attempted
327 to modify behavior through virtual reality in neurological populations (Felsberg et al., 2019).
328 Further, a handful of researchers are attempting to modify and improve walking performance
329 through gamification (Eggenberger et al., 2016; Schättin et al., 2016; Adcock et al., 2020).

330 This knowledge and paradigm will be particularly helpful for individuals that suffer from an
331 injury or condition that prevent the use of a specific balance mechanism. For example, people
332 with diabetic neuropathy or Parkinson's disease might refrain from using the ankle roll mech-
333 anism due to diminished proprioception. A paradigm similar to the one described here could
334 be used to enhance the use of the ankle roll mechanism. We used vestibular stimulation to
335 elicit balance responses, but similar elicitations could be provoked by mechanical perturbations
336 (Banala et al., 2010; Acasio et al., 2017).

337 **5. Conclusion**

338 We created a task for walking in a virtual environment that can be used to study the effects
339 of environmental constraints on the control of balance during locomotion. The results indicate
340 that the neural control of balance changes in the presence of environmental constraints. More
341 research is needed to refine the paradigm to determine how exactly the balance mechanisms
342 change in the presence of environmental constraints. If we can predict which balance mech-
343 anisms people will use in specific situations, we can use this to intervene in the automatic
344 balance response through alteration of the environment. Such a paradigm may be an avenue
345 to target and rehabilitate specific balance mechanisms and strategies.

Table 1: Results of the linear mixed model ANOVA. Bold face text indicates statistically significant differences between perturbations toward *No-Step* and *Neutral* zones. .

Variable	Num. Df	Den. Df	F	p
Δ CoM	1	1922.3	145.38	< 0.0001
Δ CoM Velocity	1	1921	31.105	< 0.0001
$\int \Delta$ CoP-CoM	1	1928.7	0.0946	0.7585
$\int \Delta$ Ankle Eversion	1	1930.1	2.4456	0.118
$\int \Delta$ Peroneuous Longus EMG	1	1924.8	2.657	0.1033
$\int \Delta$ Tibialis Anterior EMG	1	1928.7	3.8821	0.04895
Δ Foot placement	1	1925.4	0.9193	0.3378
Model-Correct Foot placement	1	1921.4	29.15	< 0.0001
Δ Knee Rotation	1	1924	0.0637	0.8008
Δ Hip Rotation	1	1922.3	3.593	0.05817
Δ Hip Adduction	1	1923.6	0.1343	0.714
$\int \Delta$ Ankle Plantarflexion	1	1927	2.789	0.09508
$\int \Delta$ Medial Gastroc EMG	1	1924.7	4.6848	0.03055

Figure 1: (A) Subjects walked on a self-paced treadmill, wearing a head-mounted display, while harnessed to a body-weight support system (not shown). The front handrail was used to assist in starting and stopping the self-paced treadmill comfortably. (B) The virtual reality scene displayed in the Oculus Rift. Subjects were instructed to walk on the green pathway and avoid stepping in the red *No-Step* zones. If the subject needed to, they were able to step in the gray *Neutral* zones.

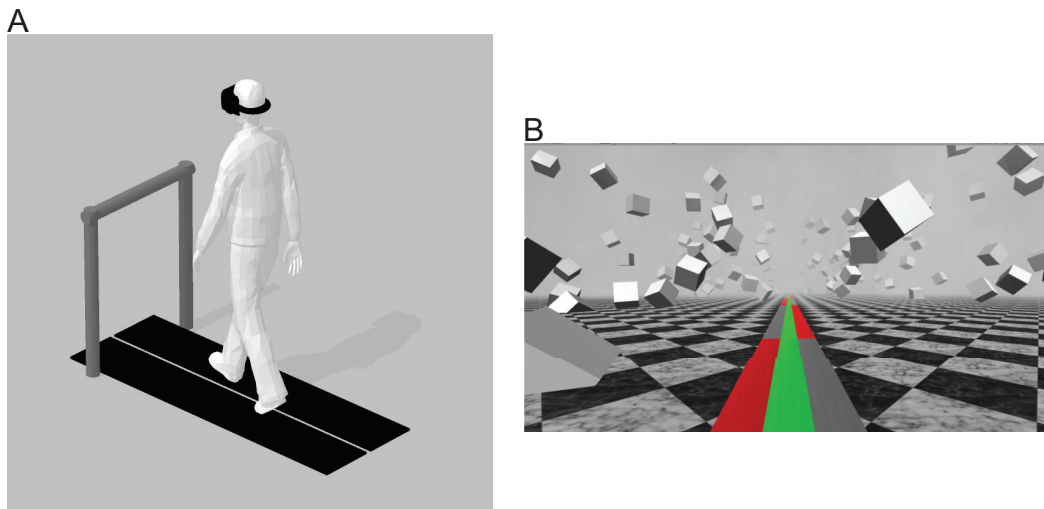
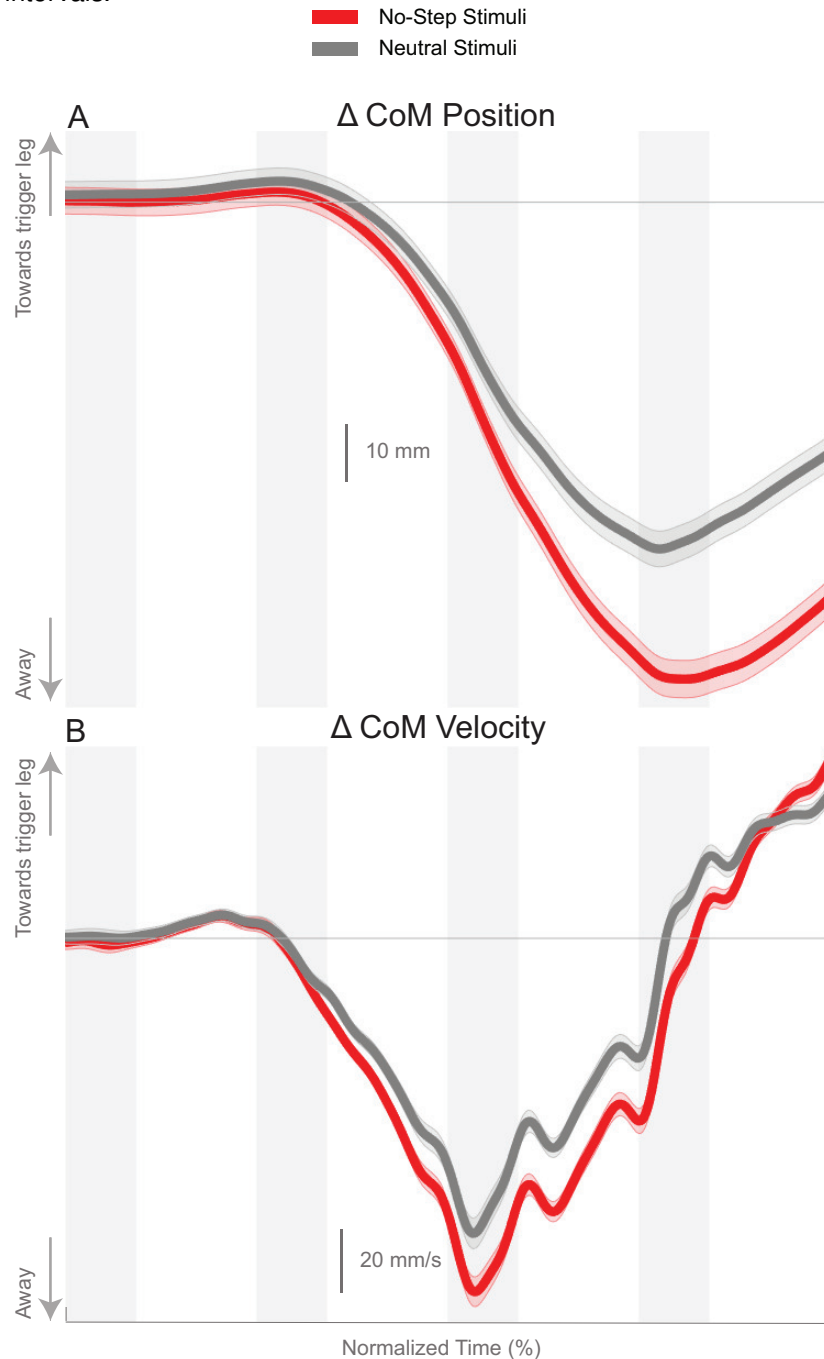


Figure 2: Changes in response to the balance perturbation in the medial-lateral CoM position (A) and velocity (B). Curves start at the heel-strike triggering the stimulus and span four steps, ending at heel-strike of the same foot. Red curves correspond to fall stimuli toward the *No-Step* and gray curves to fall stimuli toward the *Neutral* zone. The gray vertical bars correspond to double stance phases and the white areas in between to single stance phases. Data shown are changes relative to the unperturbed steps, with shaded areas around the means giving the 95% confidence intervals.



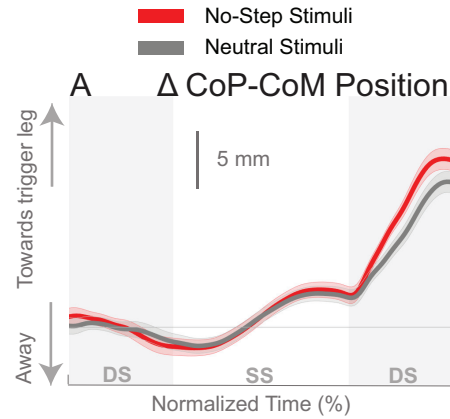


Figure 3: Changes in the medial-lateral CoP-CoM displacement in response to the perturbations. Curves start at the heel strike triggering the stimulus and end at the triggering foot toe-off. Red curves correspond to fall stimuli toward the *No-Step* and gray curves to fall stimuli toward the *Neutral* zone. The vertical shaded areas correspond to double stance, the white area between to single stance. Data shown are changes relative to the unperturbed steps, with shaded areas around the means giving the 95% confidence intervals.

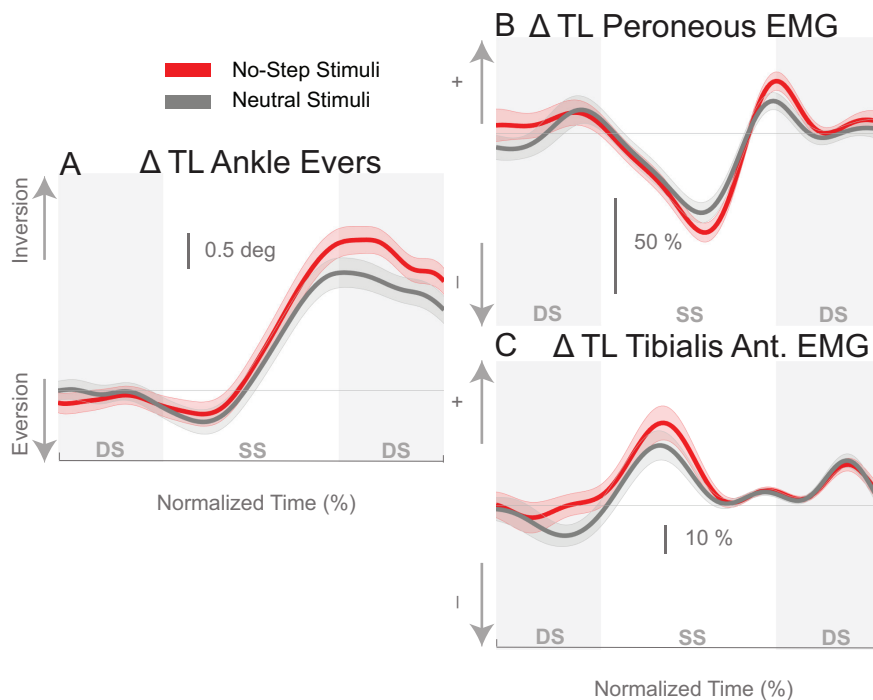


Figure 4: Variables illustrating the use of the lateral ankle mechanism. (A) The joint angle that contributes to the CoP-COM changes; and (B,C) the EMG that contributes to joint angle changes. Curves start at the heel strike triggering the stimulus and end at the triggering foot toe-off. Red curves correspond to fall stimuli toward the *No-Step* and gray curves to fall stimuli toward the *Neutral* zone. The vertical shaded areas correspond to double stance, the white area between to single stance. Data shown are changes relative to the unperturbed steps, with shaded areas around the means giving the 95% confidence intervals.

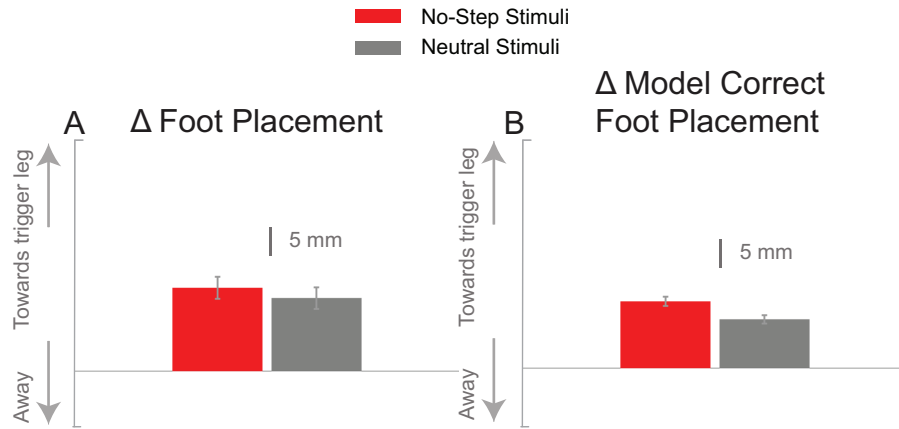


Figure 5: The foot placement response (A) and the model-corrected foot placement (B) at the first post-stimulus step. Bars indicate the mean and the errors the 95% confidence interval.

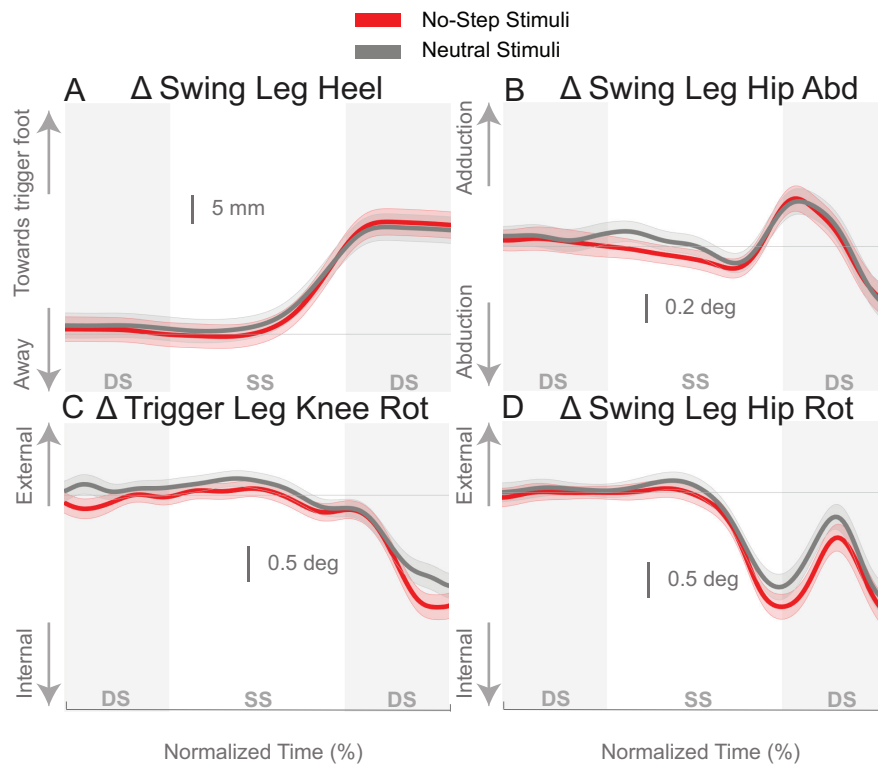


Figure 6: Variables illustrating the use of the change of the foot placement mechanism over time. Changes in response to the balance perturbation in the heel position (A), and joint angles (B,C,D) that contribute to change in heel position. Curves start at the heel strike triggering the stimulus and end at the triggering foot toe-off. Red curves correspond to fall stimuli toward the *No-Step* and gray curves to fall stimuli toward the *Neutral* zone. The vertical shaded areas correspond to double stance, the white area between to single stance. Data shown are changes relative to the unperturbed steps, with shaded areas around the means giving the 95% confidence intervals.

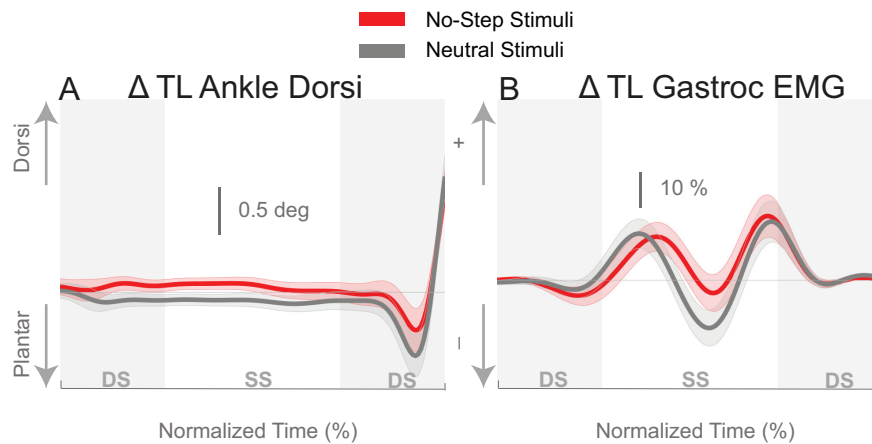


Figure 7: Variables illustrating the use of the push-off mechanism. Changes in response to the balance perturbation in ankle dorsiflexion (A), and the medial gastrocnemius EMG (B). Curves start at the heel strike triggering the stimulus and end at the triggering foot toe-off. Red curves correspond to fall stimuli toward the *No-Step* and gray curves to fall stimuli toward the *Neutral* zone. The vertical shaded areas correspond to double stance, the white area between to single stance. Data shown are changes relative to the unperturbed steps, with shaded areas around the means giving the 95% confidence intervals.

346 **Author contribution statement**

347 TF, FDS, HR, JJ designed the experiment. TF, HR, and SD performed the experiment, TF, HR,
348 SD analyzed the data. TF, FDS, HR, SD, and JJ wrote the manuscript.

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